Wealth Management

Services

June 2017

Independence and stability

Those who come to Schroders in Switzerland may expect anything but an ordinary banking establishment. Although Schroders plc, our mother company, is a publicly quoted, globally operating company, unlike most of its competitors, it still bears the stamp of the family who founded it in 1804.

The Group focuses on a select few clearly defined business areas. This focus, combined with our independence, enables us to apply an open product architecture. We are not tied to any off-the-shelf products, but can devise the best solution for each individual client through our selection of partners and products.

Our over 200-year history bears eloquent testimony to our stability and tradition of long-standing relationships built on trust, which can only be created through honest and transparent actions. We continue to act according to these principles today.

Adrian Nösberger
Chief Executive Officer
Schroder & Co Bank AG, Switzerland

Wealth Management services

For your benefit, we offer a fully open product and service platform providing you with a wide variety of products and services in order to meet your individual requirements. Consulting not only our in-house investment specialists but also leading external professionals allows us to present you with an independent and unbiased choice of the most suitable, competitive products and services.

Discretionary

Prudent investment lies at the heart of creating and preserving wealth. This requires a great deal of time, experience and expertise. Our discretionary portfolio management centres on the client's needs, short and long-term goals and risk profile. These factors determine the nature and structure of your individual portfolio. In discretionary asset management, Schroders Wealth Management is responsible for each individual step of the strategy implementation, from investment decisions to portfolio administration.

Your advantage: You select one of our strategies (cautious, balanced, or growth) or create your bespoke strategy and your wealth is managed according to Schroders market expectations in line with your personal strategy. At least once a year, the strategy is reviewed and confirmed or, alternatively, it may be adapted if your requirements change. We provide you periodically with an analysis of your account and performance of your assets but you may also follow it up via online services.

Advisory

Wealth Management means more than just managing client assets. It is about providing comprehensive advice, which includes professional expertise and empathy. Many Schroders Wealth Management clients base their investment decisions on advice given by their private banker. We provide clients with extensive and comprehensible information on the entire range of Schroders products and services, as well as third-party products analysed and selected by Schroders. Our advisory mandate centres on your needs, short and long-term goals and your personal risk profile. These factors determine the nature and structure of your portfolio.

Your advantage: You decide how and when you shape your portfolio by selecting one of the available strategies (cautious, balanced, growth). Upon your request, your relationship manager or our investment consulting will provide you with independent advice. The advice is customized to your personal strategy and needs. State of the art portfolio tracking of the agreed strategy is provided by our banking system. We provide you periodically with an analysis of your account and performance of your assets but you may also follow it up via online services.

Order execution

Many of our clients are able and willing to make their own investment decisions. Schroders Wealth Management carries out the administrative work involved in these investments.That way we ensure rapid implementation in accordance with the relevant instructions. Our online services offer you the possibility of following up the performance of your assets on a regular basis.

Your advantage: You decide and we implement timely and reliably.

Services overview

Discretionary

- Active management of the portfolio according to the defined investment strategy
- Individual investment restrictions
- Regular monitoring of the portfolio
- Regular portfolio review and discussions

Advisory

- Advice on portfolio structure and investment instruments
- Transaction advice (incl. Structured Products, Private Equity, Hedge Funds)
- Classic and bespoke investment strategies
- Continuous monitoring of the portfolio/investment strategies
- Recurring monitoring of the risk profile
- On special request (asset base > CHF 5 million):
  - Regular portfolio analysis performed by a relationship manager or/and an investment advisor
  - Direct/indirect access to investment advisors (frequency according to client's needs)

Order execution

- Account and safekeeping openings
- Cash accounts (incl. precious metal accounts)
- Reporting (account, securities and performance statements)
- Custody (safekeeping of securities)

Order execution (continuation)

- Corporate actions (e.g. collection of dividends, interests, etc., securities administration)
- Delivery of securities
- Securities transactions
- Derivatives transactions (options & futures)
- Money market transactions
- Foreign exchange transactions
- Teller services
- Payments (domestic and international payments)

Credits

- Lombard loans (on fixed term or current account basis) and secured guarantees
- Mortgages (via 3rd party banks)

Additional Services

- Online services
- Private Equity administration
- Art advisory
- Special mailing instructions
- Extended standardized investment instructions
- Credit cards
- Cheques collection (main currencies except USD)
- Individual asset reporting
- Tax reporting (if available country specific)

The service offerings mentioned in this brochure may differ in your domicile due to regulatory requirements. This brochure does not constitute any form of securities offering.

Schroder & Co Bank AG
Central 2, 8001 Zürich

Tel +41 (0)44 250 11 11
Fax +41 (0)44 250 13 12
www.schroders.ch
contact@schroders.ch

Schroder & Co Banque SA
8, rue d'Italie, 1204 Genève

Tel +41 (0)22 818 41 11
Fax +41 (0)22 818 41 12
www.schroders.ch
contact@schroders.ch